



Office of Research Administration
SUBAWARD REQUEST FORM

ora.umd.edu

Please email this completed and signed form with all required documentation to the Subaward Administrator at orasubaward@umd.edu.

NOTE: A Subrecipient which has not previously received a subaward from UMD must be reviewed by ORA's internal Subrecipient

SECTION I: Funds Requested and Period of Performance

KR Award ID

Subrecipient Full Legal Name (no abbreviations)

Project Title

Start Date

Current End Date

Anticipated End Date

Initial Subaward Obligation (funding provided at this time to Subrecipient) \$

Total Anticipated Subaward Amount (including the initial subaward obligation) \$

The subaward includes the following: Check all that apply

IDC collected by UMD on subaward costs differs from the award's IDC rate (MTDC/TDC) or is not collected. Please Explain.

Equipment costing \$5,000 or more per item.

Wire Transfer Fee amount \$
Award ID (to charge the wire transfer fee)

Cost Sharing obligation, if applicable. Amount committed \$

Human Subjects Research is being performed by: (if checked, attach IRB Approved Protocol)
Subrecipient UMD Both

Vertebrate animals are used by: (if checked, attach IACUC Approved Protocol)
Subrecipient UMD Both

Human Subjects Data will be shared under this Subaward
From UMD to the Subrecipient
From Subrecipient to UMD
Both from UMD to Subrecipient and Subrecipient to UMD

The type of data exchanged is:
Personally Identification Information (PII)
Protected Health Information (PHI)
Limited Data Set
Deidentified Data
Other

Description of Data (Required):

A separate Data Transfer and Use Agreement has or will be executed Yes No

Export Control attach information if export-controlled products are to be created or shared by Subrecipient.

UMD award includes an Intellectual Property Waiver or Restricted Research Waiver

Other (Please describe)

Section II: Conflict of Interest

The project or relationship with this Subrecipient presents a potential for conflict of interest or the appearance of a conflict of interest.

Yes No

If Yes, a complete COI disclosure has been submitted and approved in accordance with current UMD policy and/or Federal regulation.

Yes No N/A

SECTION III: UMD Contact Information

UMD Administering Department

Department Business Contact

Name _____

Phone _____

Email _____

UMD Principal Investigator

Name _____

Phone _____

Email _____

Section IV: Subrecipient Contact Information

Administrative Point of Contact

Name _____

Phone _____

Email _____

Subrecipient Principal Investigator

Name _____

Phone _____

Email _____

SECTION V: Payment and Reporting Requirements (complete all fields that apply)

Invoicing:

Cost Reimbursement - Invoice shows expenses by category throughout period of performance.

Fixed Price - Attach a schedule showing deliverables, due dates, and dollar amounts. Final payment is withheld until receipt of all deliverables. Prior agency approval may be needed if subaward is fixed price.

Financial:

Invoice Frequency Monthly if Other, explain _____

Final Invoice/Final Financial calendar days after end date of subaward

UMD shall normally allow a Subrecipient 60 days to provide a final invoice/final financial report. If the department is requesting less than sixty days, please provide a reason.

Technical:

- Monthly technical/progress reports will be submitted within 15 days of the end of the month.
- Quarterly technical/progress reports will be submitted within 30 days after the end of each project quarter.
- Annual technical/progress reports will be submitted within 15 days prior to the end of each budget period.
- A final technical/progress report will be submitted within 15 days after the end of the Project Period or after termination of this award, whichever comes first.
- Technical/progress reports on the project as may be required UMD PI to satisfy its reporting obligations to the Federal Awarding Agency.
- Other: Please provide information including the types of reports and required due dates below. Examples of other deliverables include meetings, trainings, project milestones, etc. If more space is needed, please provide an additional page.

NOTE: Attach an explanation of any unique requirements or terms and conditions to be incorporated into this Subaward Agreement in addition to any terms which will flow down from the Prime Award.

SECTION VI: Budget information for PI Approval

The PI must review and approve each of the Subrecipient’s proposed costs in the categories below to verify reasonableness, allowability, and allocability in accordance with the Subrecipient’s proposed scope of work. The signature of the PI or their designee on this form signifies their approval of the Subrecipient’s proposed costs.

Indicate all costs included in the Subrecipient’s budget.

- Salary/Level of Effort
- Equipment
- Travel
- Subcontracts
- Participant Support Costs
- Fringe benefits
- Materials and Supplies
- Other Direct Costs

Indirect Cost Type: Please select one

If Other, please review [this guidance](#) on subrecipient IDC rates accepted by UMD.

SECTION VII: Subrecipient vs. Contractor/Vendor Determination

Check all that apply. Please review [this guidance](#) for more information on Subrecipient vs. Contractor/Vendors.

- Subrecipient will carry out a substantive portion of the overall scientific project and is responsible for making related programmatic decisions.
- Subrecipient does not perform similar work on a commercial basis for multiple buyers procuring goods and services.
- Subrecipient will adhere to the flow down terms and conditions of the prime award and retain data and invention rights to deliverables.
- Subrecipient may seek to publish or co-author research results in professional scientific journals.
- Subrecipient is contributing identifiable cost share to the project which is not reimbursed by UMD.
- Subrecipient’s key personnel are identified by name in the UMD proposal to the prime sponsor.
- Subrecipient will retain data and patent rights for research results either solely or jointly created.

SECTION VIII: Sole/Single Source NOTE: Complete Section VIII only if the prime award from the sponsor to UMD is a contract.

- Subrecipient was selected **without seeking competitive bids**. Attach a separate sheet justifying your selection of this provider based on expertise, location, unique facilities, cost, etc.
- Subrecipient was selected using **competitive bids**. Attach a separate sheet including the: 1) name and address of each subcontractor submitting a bid; 2) total cost proposed by each bidder; and 3) reason for selection of current Subrecipient.

SECTION IX: Principal Investigator/Authorized Designee Approval

By signing below, I certify that I have read the following statements and further certify that they are accurate and truthful to the best of my knowledge and belief:

1. The Subrecipient’s proposed costs have been reviewed by the PI and are considered reasonable for the Subrecipient’s level of expertise and the technical effort proposed by the Subrecipient.
2. Funding is available for this subaward/subcontract and is an allowable cost under the terms of the Award. When required, Prime Sponsor prior approval was obtained.
3. The proposed relationship has been reviewed and a determination has been made that the relationship does not constitute a purchased service and that the most appropriate agreement type is a subaward or subcontract.

The PI acknowledges and accepts the responsibility of monitoring the programmatic and financial performance, and the overall progress, of the Subrecipient under the requested subaward or subcontract during the life of the agreement.

Signature

Date

SECTION X: Required Attachments

Please attach to this form the Statement of Work, Budget, Budget Justification, and approved F&A Rate Agreement (only if Subrecipient is not a part of the FDP Expanded Clearinghouse) or an explanation of the F&A rate in the budget.